



FILING RECEIPT

3-82/3 27C Receipt #6

Serial No.: 10/084,495

Docket No.: SUG-014-USA-P

Filed: Feb. 28, 2002

Inventor: K. Ishida, et al.

COMMISSIONER OF PATENTS & TRADEMARKS
BOX: _____

Please acknowledge receipt of the following items by date stamping (an if to be mailed, returning) this card to us.

- _____ Transmittal in Duplicate
- _____ Response to Restriction Requirement
- _____ Amendment
- _____ Transmittal of Missing Parts, PTO Form 1533, Dec./POA & check for \$130
- _____ Assignment, Cover Sheet & Check for \$40
- _____ Transmittal and Certified Copy of priority application
- _____ Information Disclosure Statement, PTOL 1449 and references
- _____ Petition for Extension of Time, and check for \$ _____
- _____ Request for Continued Examination, and check for \$ _____
- _____ Transmittal and Formal Drawings
- _____ Transmittal of Issue Fee (duplicate), PTOL 85B and check for \$ _____
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- _____ Credit Card Payment Form
- ✓ Other: Request for Corrected Filing Receipt
- _____ Other: _____
- _____ Other: _____
- _____ Other: _____

LAW OFFICES OF TOWNSEND & BANTA



DOCKET NO. SUG-014-USA-P

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
K. Ishida, et al.

Serial No.: 10/084,495

Art Unit: 1742

Filed: February 28, 2002

Examiner: To Be Assigned

For: Free-Cutting Tool Steel

REQUEST FOR CORRECTED FILING RECEIPT

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

Applicants' counsel is in receipt of the PTO Corrected Filing Receipt dated December 10, 2002 for the above identified pending patent application, a copy of which is attached hereto. Upon verifying the accuracy of the data presented on the Corrected Filing Receipt it was noted that there are errors in the Assignment For Published Patent Application category for this invention which were introduced by the Patent Office. In particular, the PTO incorrectly omitted three of the four Assignees of the present application. As evidenced by the attached copy of the Assignment and Recordation Form Cover Sheet, the Assignment For Published Patent Application should read as follows:

Kiyohito Ishida
Dokuritsu Gyousei Houjin Sangyo Gijutsu Sougo Kenkyusho
Katsunari Oikawa
Daido Tokushuko Kabushiki Kaisha

It is respectfully requested that the Patent Office records be corrected to reflect the correct Assignees, as identified in the Assignment For Published Patent Application, and that the undersigned be provided with a corrected Filing Receipt.

DOCKET NO. SUG-014-USA-P

Respectfully submitted,

TOWNSEND & BANTA

Donald E. Townsend, Jr.

Donald E. Townsend, Jr.

Reg. No. 43,198

Date: January 21, 2003

TOWNSEND & BANTA
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C 20231

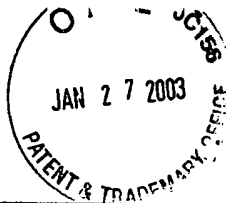
On January 21, 2003

Donald E. Townsend, Jr.

Donald E. Townsend, Jr.



UNITED STATES
PATENT AND
TRADEMARK OFFICE



Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/084,495	02/28/2002	1742	866	SUG-014- USA-P	2	27	2

Law Office of Townsend & Banta
#50028
Suite 500
1225 Eye Street, N.W.
Washington, DC 20005

CONFIRMATION NO. 7467

CORRECTED FILING RECEIPT



OC000000009219271

Date Mailed: 12/10/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kiyohito Ishida, Sendai-shi, JAPAN;
Katsunari Oikawa, Shibata-gun, JAPAN;
Toshimitsu Fujii, Nagoya-shi, JAPAN;
Yukinori Matsuda, Nagoya-shi, JAPAN;
Kozo Ozaki, Nagoya-shi, JAPAN;
Seiji Kurata, Nagoya-shi, JAPAN;
Takayuki Shimizu, Nagoya-shi, JAPAN;

Assignment For Published Patent Application

Dokuritsu Gyousei Houjin Sangyo Gijutsu Sougo Kenkyusyo;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2001-060782 03/05/2001
JAPAN 2001-060809 03/05/2001
JAPAN 2001-278579 09/13/2001

If Required, Foreign Filing License Granted: 03/28/2002

Projected Publication Date: 09/12/2002

N n-Publication Request: No

Early Publication Request: No

Title

Free-cutting tool steel

Preliminary Class

148

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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PATENTS ONLY

SU 014-USA-P

Tab settings 000

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kiyohito Ishida, Katsunari Oikawa,
Toshimitsu Fujii, Yukinori Matsuda,
Kozo Ozaki, Seiji Kurata, Takayuki Shimizu

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Kiyohito Ishida

Internal Address: _____

Street Address: 5-20, Kamisugi 3-chome,

Aoba-ku, Sendai-shi,

City: Miyagi State: Japan ZIP: _____

Additional name(s) & address(es) attached? ☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: 1/30, 2/1, 2/5 & 2/15/02

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 1/30/02

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: TOWNSEND & SANTA

Internal Address: _____

Street Address: 1225 Eye Street, N.W.
Suite 500

City: Washington State: DC ZIP: 20005

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

20-1424

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald E. Townsend, Jr.

Name of Person Signing

Donald E. Townsend, Jr.

Signature

2/28/02

Date

5

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box 1000, Washington, DC 20540

Additional Assignees:

Dokuritsu Gyousei Houjin Sangyo Gijutsu Sougo Kenkyusho
1-3-1, Kasumigaseki,
Chiyoda-ku, Tokyo, Japan

Katsunari Oikawa
4-1-34, Nishifunabasama,
Shibata-cho, Shibata-gun
Miyagi, Japan

Daido Tokushuko Kabushiki Kaisha
11-18, Nishiki 1-chome,
Naka-ku, Nagoya-shi,
Aichi, Japan

ASSIGNMENT

SUG-014-USA-P

WHEREAS I/WE, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

FREE-CUTTING TOOL STEEL

(Title of Invention)

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on _____, (Serial No. _____); and

WHEREAS, Kiyohito ISHIDA

(Full Name of Assignee)

an Individual of Japan

whose post office address is 5-20, Kamisugi 3-chome, Aoba-ku, Sendai-shi, Miyagi Japan; and

WHEREAS, DOKURITSU GYOUSEI HOUJIN SANGYO GIJUTSU SOUGO KENKYUSHO

(Full Name of Assignee)

a corporation of Japan

whose post office address is 1-3-1, Kasumigaseki, Chiyoda-ku, Tokyo Japan; and

WHEREAS, Katsunari OIKAWA

(Full Name of Assignee)

an Individual of Japan

whose post office address is 4-1-34, Nishifunabasama, Shibata-cho, Shibata-gun, Miyagi Japan; and

WHEREAS, DAIDO TOKUSHUKO KABUSHIKI KAISHA

(Full Name of Assignee)

a corporation of Japan

whose post office address is 11-18, Nishiki 1-chome, Naka-ku, Nagoya-shi, Aichi Japan

(hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which the assignee is hereby acknowledged, I/We, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with assignee, its successors and assigns, any facts known to me/us respecting his invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors and assigns, execute all divisional, continuation-in-part, continuation prosecution and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hand(s).

1. Full Name of Sole or First Assignor Kiyohito ISHIDA	Assignor's Signature <i>K. Ishida</i>	Date 2002.2.15
Address 5-20, Kamisugi 3-chome, Aoba-ku, Sendai-shi, Miyagi Japan		Citizenship Japanese
2. Full Name of Second Assignor, if any Katsunari OIKAWA	Assignor's Signature <i>K. Oikawa</i>	Date 2002.2.5
Address 4-1-34, Nishifunabasama, Shibata-cho, Shibata-gun, Miyagi Japan		Citizenship Japanese
3. Full Name of Third Assignor, if any Toshimitsu FUJII	Assignor's Signature <i>Toshimitsu Fujii</i>	Date 2002.1.30
Address c/o DAIDO TOKUSHUKO KABUSHIKI KAISHA GIJUTSU KAIHATSU KENKYUSHO, 30, Daido-cho 2-chome, Minami-ku, Nagoya-shi, Aichi Japan		Citizenship Japanese